

## Matrix of existing assessment tools suitable for early recovery

Name of tool	Guidelines / protocols ("how to")	What information does the tool provide?	Overall description of the tool	"Sector(s)" to which the tool applies	Status: Under development, draft or final version available, in use, undergoing revisions	Organization (publishing date)	Name of Tool
UNHCR Tool for Participatory Assessment	X	<ul style="list-style-type: none"> <li>- People's priorities according to subgroups of age, sex, and diversity.</li> <li>- A description of the protection risks from the perspective of women, girls, boys, men of concern, to analyze those risks with them, to hear from them what they consider to be the causes of those risks, their capacities to face those risks, and what solutions they propose to respond to those risks.</li> </ul>	The Tool proposes 10 Steps for conducting participatory assessments for interactive, systematic information gathering and analysis, and thus initiating or continuing community mobilization around certain themes. The overall aim of the methodology is to enable teams	General Protection	In use	UNHCR (2006)	UNHCR Tool for Participatory Assessment
Integrated Rapid Livelihood Assessment	X	<p>As for UNDP and UNHCR tools with additional comments:</p> <p>The toolkit aims at livelihood assessment (i.e. how people make a living), recovery and enhancement. It looks beyond <b>impact</b> of the disaster on livelihoods, to explain <b>capacities and opportunities</b> for recovery at different levels (households, local economy, institutions).</p>	<p>An integrated tool kit with three basic elements: Livelihood baseline; Quick Impact Assessment (QIA); Rapid Livelihood Assessment (RLA).</p> <ul style="list-style-type: none"> <li>- The livelihood baseline and QIA provide rapid assessments (within the first 10 days) for input into Flash Appeals.</li> <li>- The RLA provides a more comprehensive picture of the impact of an emergency on livelihoods and opportunities for livelihood recovery and enhancement (i.e. "building back better").</li> </ul>	Livelihood recovery. Currently focused on sudden onset natural disasters, with plans to extend to post-conflict and longer-gestation natural disasters	Draft available.	FAO and ILO (2006)	Integrated Rapid Livelihood Assessment
Emergency Food Security Assessment Handbook	X	<p>The purpose of an EFSA is to determine:</p> <ul style="list-style-type: none"> <li>• whether, as a result of the shock/crisis, there is, or will be, a food security or nutritional problem that the affected people and communities cannot cope with and recover from unaided; and, <b>if so,</b></li> <li>• what kind of assistance is needed by whom, how much, where and when, and how it should be provided – what types of intervention and how they should be implemented; and</li> <li>• whether the government and other national organizations and resources can cover the needs or international assistance is required.</li> </ul>	The handbook is intended for use in any emergency situation or protracted humanitarian crisis, whether due to a sudden natural disaster, drought, disease or economic collapse (a slow-onset crisis) or conflict, and to address the needs of both resident and internally displaced persons. Contains guidance for 1. Initial investigation, 2. Rapid Assessment and 3. In-Depth assessment	Food security, livelihoods, nutrition, health, to a lesser extent health infrastructure, education. Relevant in all types of emergencies.	In use, while undergoing revision in 2007	WFP June 2005	Emergency Food Security Assessment Handbook
Reproductive Health Assessment	X	<ul style="list-style-type: none"> <li>- Identify high-risk groups on reproductive health within the affected population</li> <li>- Identify the most serious or the most prevalent reproductive health conditions</li> <li>- Identify priorities in restoring reproductive health related services</li> <li>- An overview of reproductive health status of affected population</li> <li>- Attitudes toward reproductive health practices and local medical practices/policies, the extent of needed service and the degree to which current services provide what is needed</li> </ul> <p>Provides health programme managers with information, resources and problem solving techniques to analyze its specific situation and plan future activities for revising, expanding or starting quality reproductive health services and programmes.</p>	<p>RH assessment: To assess attitudes toward reproductive health practices and local medical practices/policies, the extent of needed service and the degree to which current services provide what is needed</p> <p>RH Manual: a tool to facilitate the planning, implementation, monitoring and evaluation of reproductive health interventions. Not an exclusive assessment tool, but assessment is an integral part of the Manual.</p>	Health Infrastructure and Services, Protection, Women and youth concerns	RH assessment: In use. RH Manual: In use, undergone a comprehensive evaluation	<p>Reproductive Health for Refugees Consortium (1997)</p> <p>Inter-agency Working Group on Reproductive Health in Refugee Situations: An Inter-agency Field Manual (1999)</p>	Reproductive Health Assessment
Community-Level Assessment Tool	X	<ul style="list-style-type: none"> <li>- A description of the community structures and livelihoods in the selected area(s);</li> <li>- The socio-economic profile of households, ranging from the better-off to the most vulnerable;</li> <li>- The capacities/assets and vulnerabilities of these different socio-economic groups in this area;</li> <li>- The way in which external events, both positive and negative, including aid delivered in the area may affect different socio-economic groups.</li> </ul>	An approach to structure systematically throughout the programme cycle the collection and analysis of community and household level data (both secondary and primary data) in a distinct and homogenous geographical area. It's <b>analytical framework</b> is based on Save the Children's Household Economy Approach, and the Sustainable Livelihoods Framework.	General Livelihoods and economic recovery	Final version available.	UNDP / BCPR (2006)	Community-Level Assessment Tool

## Matrix of existing assessment tools suitable for early recovery (cont.)

Name of tool	When can/should the tool best be used?	What type of activity does this tool assist in planning?	Training modules	Analytical framework	Reporting formats / data collection sheets or tables	Checklist of recommended key informants (persons)	Checklist of recommended secondary sources	Checklists for collecting background or secondary (written) data	Checklists for interviews	Name of Tool
<b>UNHCR Tool for Participatory Assessment</b>	Can be used at many different points of the program cycle, either to inform planning, to check implementation, to monitor and evaluate.	Helps UNHCR teams of staff, partners, and government counterparts to plan UNHCR operations.	X	X	X	X	Proposes how to 'triangulate' information and provide feedback to the community	Systematization forms for recording findings from participatory assessment	Potential questions to ask around certain themes	<b>UNHCR Tool for Participatory Assessment</b>
<b>Integrated Rapid Livelihood Assessment</b>	The QIA can be used in conjunction with the baseline for input into the Flash Appeal days after the initial disaster impact. The conditions for conducting the RLA are the same as those for the UNDP tool.	Inputs directly into Flash appeals and Early Recovery donor conferences. Can be used as a basis on which to develop agency specific project proposals as well as government livelihood recovery strategies.	To be developed	X SLA is the overarching framework, operationalised through blending qualitative and quantitative analytical techniques.	X	X	X	X	X	<b>Integrated Rapid Livelihood Assessment</b>
<b>Emergency Food Security Assessment Handbook</b>	Soon after the sudden shock and in time for providing inputs into project design. For slow onset, as early as possible, right after an alert and early enough to inform a response that prevents deterioration of the situation	Planning basis for Emergency operations (EMOP) and Protracted relief and Recovery operations (PRRO), Flash Appeals, CAP/NAFs, as well as recommendations for other non-food responses to be implemented by other agencies	Separate Facilitator's toolkit available with various modules for a 5 day EFSA training and trainer's notes	X	X	X	X	X	X	<b>Emergency Food Security Assessment Handbook</b>
<b>Reproductive Health Assessment</b>			X		X	X	X	X	X	<b>Reproductive Health Assessment</b>
<b>Community-Level Assessment Tool</b>	As soon as i) access to the area is secure, ii) contact with local authorities has been established, iii) communities are known to be available for interviews.	Wide range of livelihood support programmes; Strengthening/restoring social services; Restoration/protection of natural resources; Targeted support for vulnerable groups		X	X	X	X		X	<b>Community-Level Assessment Tool</b>

## Matrix of existing assessment tools suitable for early recovery (cont.)

Name of tool	Is a training programme available for the use of this tool? If YES, please describe (training of users/training of trainers; location and frequency of training events)	Where has it been used (please put an * above the pilot locations/countries)	How many human resources are required to use the tool?	How much time is required to use the tool? (inclusive of time needed to plan the exercise and write first report draft)	What size of geographical area does the tool cover?	Name of Tool
UNHCR Tool for Participatory Assessment	Not much training is needed. A briefing module exists to help explain the tool and an on-line discussion forum exists for teams to discuss participatory assessment and findings.	Applied in almost every UNHCR operation. Conducting participatory assessment is inscribed in UNHCR programming instructions.	As determined by field teams.	As determined by field teams, depending on the size of the population of concern and the diversity within the community and the issues to cover.	As determined by field teams.	UNHCR Tool for Participatory Assessment
Integrated Rapid Livelihood Assessment	A Training needs assessment is ongoing within FAO and ILO. Training materials to be developed.	Earlier versions of RLA have been used in the context of the Pakistan earthquake (2005) and the Yogyakarta volcanic eruption (2006).	For RLA, similar to UNDP tool. For analysis of baseline and blending of this with qualitative RLA information, some quantitative data analysis skills are required (e.g familiarity with SPSS).	Within a few days for assessments based on extrapolations from baseline data and minimal impact information (QIA designed to produce results for the Flash Appeal.) RLA within 20 - 30 days.	Contingent on resources (time, money, skills available). Earlier versions of the RLA (used in Pakistan and Yogyakarta) have covered more than one livelihood / Agro-Ecological zone.	Integrated Rapid Livelihood Assessment
Emergency Food Security Assessment Handbook	Almost 700 WFP staff and partners trained so far during five days EFSAs basic skills training everywhere in the world	Everywhere in the world. The handbook has been widely distributed amongst WFP programme staff and partners	Depends on the ToR	Around 2-4 weeks for a Rapid Assessment, more than 6 weeks for an in-depth assessment.	Any affected area	Emergency Food Security Assessment Handbook
Reproductive Health Assessment	<a href="http://www.rhrc.org/resources/index.cfm?sector=er">www.rhrc.org/resources/index.cfm?sector=er</a> <a href="http://www.rhrc.org/resources/FinManual_toc.html">www.rhrc.org/resources/FinManual_toc.html</a> <a href="http://www.rhrc.org/resources/eneral%5Ffieldtools/needs_menu.htm">www.rhrc.org/resources/eneral%5Ffieldtools/needs_menu.htm</a>	Yes but the training is on overall reproductive health programme and services, not exclusively on data collection. <b>"Moving from Emergency Response to Comprehensive Health Programmes: A modular training series"</b> (produced by CARE on behalf of the <b>Reproductive Health for Refugees Consortium</b> ). CD ROMs are available.	Chad, Indonesia, Uganda, Republic of Congo, Yemen, Lebanon and many other countries.	One reproductive health programme manager or coordinator and field workers for data collection  (refugees with mid-wifery skills or trained traditional birth attendants; if not, community members)		Reproductive Health Assessment
Community-Level Assessment Tool	Demand and training needs to be assessed.	Sri Lanka* Note: the SC (UK) HEA has been used in over 20 countries.	Four to six people per zone in total: - One team leader well versed in PRA techniques, responsible for final reporting. - Two to three staff with PRA skills and good knowledge of local population and conditions, but who are not community members themselves. - One or two representatives of partner Organizations, e.g. Community Based Organizations or NGO consortiums, or other.	Around two weeks per zone/area	Any homogenous zone – in which local livelihoods, and where access to natural and socio-economic resources are broadly similar.  Best applied to <u>rural</u> areas.	Community-Level Assessment Tool

**Matrix of existing assessment tools suitable for early recovery (cont.)**

<b>Name of tool</b>	<b>Additional information</b>	<b>Web-link to the tool</b>	<b>Name of Tool</b>
<b>UNHCR Tool for Participatory Assessment</b>	Created in context of UNHCR Strategy for Age, Gender, and Diversity Mainstreaming to ensure that protection programming is based on the concerns and participation of women, girls, boys, and men.	<a href="http://www.unhcr.org/potect/45096d452.html">www.unhcr.org/potect/45096d452.html</a>	<b>UNHCR Tool for Participatory Assessment</b>
<b>Integrated Rapid Livelihood Assessment</b>	Builds from draft FAO Rapid Livelihood Assessment Guidelines (2006) and ILO Crisis Response Rapid Needs Assessment Manual (2001).	Not Applicable (yet).	<b>Integrated Rapid Livelihood Assessment</b>
<b>Emergency Food Security Assessment Handbook</b>		<a href="http://www.wfp.org/operations/Emergency_needs/Facilitator's_toolkit_available_as_CD">www.wfp.org/operations/Emergency_needs/Facilitator's toolkit available as CD</a>	<b>Emergency Food Security Assessment Handbook</b>
<b>Reproductive Health Assessment</b>			<b>Reproductive Health Assessment</b>
<b>Community-Level Assessment Tool</b>		<a href="http://www.undp.bcpr">www.undp.bcpr</a>	<b>Community-Level Assessment Tool</b>

**[note 1]** General; health infrastructure and services; education infrastructure and services; livelihoods and economic recovery (including food-security); nutrition; water & sanitation; shelter & housing; protection; logistics & telecommunications; security; infrastructure; governance & local administration; environment & natural resources; other (describe)

Footnotes

- 1 Emergency Relief Coordinator